

*Steinberg*  
9-5-01 465

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
ACT.001

In Re Application Of:  
**Dan A. Steinberg, et al.**

JUN 28 2001  
U.S. PATENT & TRADEMARK OFFICE

Serial No.  
**09/835,863**

Filing Date  
**04/16/2001**

Examiner  
**Unknown**

Group Art Unit  
**2877**

Title:

**CONNECTOR STRUCTURE FOR INTEGRATED OPTICAL WAVEGUIDE DEVICES**

Address to:

**Assistant Commissioner for Patents**  
Washington, D.C. 20231

**37 CFR 1.97(b)**

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

- The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:
  1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,whichever occurs first.

Also submitted herewith is:

a certification as specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
ACT.001

In Re Application Of:

Dan A. Steinberg, et al.

JUN 2 8 2001

PATENT & TRADEMARK OFFICE

Serial No.  
09/835,863

Filing Date  
04/16/2001

Examiner  
Unknown

Group Art Unit  
2877

Title:

CONNECTOR STRUCTURE FOR INTEGRATED OPTICAL WAVEGUIDE DEVICES

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of \_\_\_\_\_ is attached.

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. as described below. A duplicate copy of this sheet is enclosed.

Charge the amount of \_\_\_\_\_

Credit any overpayment.

Charge any additional fee required.

Certificate of Transmission by Facsimile\*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No.

\_\_\_\_\_  
(Date)

Signature

Typed or Printed Name of Person Signing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

William S. Francos, Esq. (Reg. No. 38,456)

JONES VALENTINE, L.L.C.  
12200 SUNRISE VALELY DRIVE  
SUITE 150  
RESTON, VA 20191  
Telephone: (703) 715-0870

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

Dated: 06/25/2001

CC: